

## Agenda

**Meeting: Pension Board**

**Venue: Brierley Room, County Hall,  
Northallerton, DL7 8AD**

**Date: Thursday 14 July 2016 at 10 am**

Recording is allowed at County Council, committee and sub-committee meetings which are open to the public, please give due regard to the Council's protocol on audio/visual recording and photography at public meetings, a copy of which is available to download below. Anyone wishing to record is asked to contact, prior to the start of the meeting, the Officer whose details are at the foot of the first page of the Agenda. We ask that any recording is clearly visible to anyone at the meeting and that it is non-disruptive. <http://democracy.northyorks.gov.uk>

### Business

1. **Exclusion of the Public and Press** – To consider the exclusion of the public and press from the meeting during consideration of item 13 on the agenda on the grounds that it involves the likely disclosure of exempt information as defined in paragraph 3 of Part 1 of Schedule 12A to the Local Government Act 1972 as amended by the Local Government (Access to Information)(Variation) Order 2006
2. **Apologies for absence**
- 3a **Minutes** – To agree as an accurate record the Minutes of the meeting held on 14 April 2016 **(Pages 6 to 14)**
- 3b **Action Record** – To note the progress made on actions agreed at previous meetings **(Pages 15 to 18)**
4. **Declarations of any Interests**
5. **Public Questions or Statements.**

Members of the public may ask questions or make statements at this meeting if they have given notice to Steve Loach of Democratic Services (contact details below) by midday Friday 8th July 2016, three working days before the day of the meeting. Each speaker should limit themselves to 3 minutes on any item. Members of the public who have given notice will be invited to speak:-

- at this point in the meeting if their questions/statements relate to matters which are not otherwise on the Agenda (subject to an overall time limit of 30 minutes);
- when the relevant Agenda item is being considered if they wish to speak on a matter which is on the Agenda for this meeting.

6. **Internal Audit Reports – Report of Legal and Democratic Services** (Page 19)
7. **Review of the Risk Register - Report of Legal and Democratic Services** (Pages 20 to 31)
8. **External Audit report to Audit Committee – “Maintaining an Effective Control Framework” – Report of Legal and Democratic Services** (Pages 32 to 47)
9. **Draft Minutes of the Pension Fund Committee meeting held on 19<sup>th</sup> May 2016 and report on Meeting held on 7<sup>th</sup> July 2016 – Chairman to report** (Pages 48 to 54)
10. **CIPFA Seminar – “Local Pension Boards – One Year On....” – Feedback from Chairman**
11. **Training and Meeting Dates - Report of Legal and Democratic Services** (Pages 55 to 59)
12. **Pension Board Work Plan – Report of Legal & Democratic Services** (Pages 60 to 62)
13. **LGPS Pooling - Update - Report of Legal & Democratic Services** (Pages 63 to 157)
14. **Other business which the Chairman agrees should be considered as a matter of urgency because of special circumstances**

Barry Khan  
Assistant Chief Executive (Legal and Democratic Services)  
County Hall  
Northallerton

## **NOTES:**

### **Emergency Procedures for Meetings**

#### **Fire**

The fire evacuation alarm is a continuous Klaxon. On hearing this you should leave the building by the nearest safe fire exit. Once outside the building please proceed to the fire assembly point outside the main entrance

Persons should not re-enter the building until authorised to do so by the Fire and Rescue Service or the Emergency Co-ordinator.

An intermittent alarm indicates an emergency in nearby building. It is not necessary to evacuate the building but you should be ready for instructions from the Fire Warden.

#### **Accident or Illness**

First Aid treatment can be obtained by telephoning Extension 7575.

# PENSION BOARD

## Membership

(9)		
	<i>Names</i>	
1	PORTLOCK, David	Chairman - Independent Member (Non-voting)
2	JORDAN, Mike (County Councillor)	Employer Representative
3	CUTHBERTSON, Ian (Councillor)	Employer Representative
4	MACDONALD, Phil	Employer Representative
5	BRANFORD-WHITE, Louise	Employer Representative
6	DRAKE, Ben	Scheme Member Representative
7	SMETHURST, Stella	Scheme Member Representative
8	SWINTHENBANK, Mandy	Scheme Member Representative
9	GRESTY, Gordon	Scheme Member Representative

**Quorum** - The Board shall be quorate if the Chair, one scheme representative and one employer representative are present.